

UPN-Q3355USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/586,890 Confirmation No. : 1686
Applicant : Stedman et al
Filed : July 21, 2006
Art Unit : 1656
Examiner : Not Assigned
Customer No. : 00270
Title : MICROUTROPHIN AND USES THEREOF

Attention: PCT Legal Office
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:


Enclosed is a copy of the Filing Receipt (Exhibit A) received in the above-identified application. An error is noted and is marked in red on the attached copy.

Applicant respectfully requests that the Assignee name, The Trustees of the Universit of Pennsylvania under the Assignment For Published Patent Application be deleted and the corrected name: The Trustees of the University of Pennsylvania, Philadelphia, PA be added as shown on page 6 of the application data sheet. (Exhibit B)

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

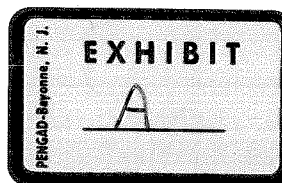
Respectfully submitted,

HOWSON & HOWSON LLP
Attorneys for Applicant

By 
Cathy A. Kodroff
Registration No. 33,980
501 Office Center Drive
Suite 210
Fort Washington, PA 19034
Phone: (215) 540-9200
Fax: (215) 540-5818



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING or 371(c) DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | TOT CLAIMS | IND CLAIMS |
|-----------------------|--------------------------|-----------------|---------------|-----------------|------------|------------|
| 10/586,890 | 07/21/2006 | 1656 | 300 | UPN-03355USA | 15 | 1 |

CONFIRMATION NO. 1686

FILING RECEIPT



Date Mailed: 06/24/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

Hansell H. Stedman, Norristown, PA;
Leonard T. Su, Cherry Hill, NJ;
Marilyn A. Mitchell, Philadelphia, PA;

Assignment For Published Patent Application

The Trustees of the University of Pennsylvania, Philadelphia, PA

Power of Attorney: The patent practitioners associated with Customer Number 270

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US05/01768 01/21/2005

Foreign Applications

UNITED STATES OF AMERICA 60538877 01/23/2004

If Required, Foreign Filing License Granted: 06/20/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/586,890**

Projected Publication Date: 10/02/2008

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Microuthropin and Uses Thereof

Preliminary Class

536

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER**Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

APPLICATION DATA SHEET

PENGAD-Bayonne, N. J.

EXHIBIT

B

| Application Information | |
|---------------------------------------|-------------------------------|
| Application Number:: | |
| Filing Date:: | Herewith |
| Application Type:: | Regular (National Stage) |
| Subject Matter:: | Utility |
| Suggested Classification:: | |
| Suggested Group Art Unit:: | |
| CD-ROM or CD-R:: | No |
| Number of CD disks:: | |
| Number of Copies of CDs:: | |
| Sequence Submission?:: | Yes |
| Computer Readable Form (CRF)?:: | Yes |
| Number of Copies of CRF:: | 1 |
| Title:: | Microtrophin and Uses Thereof |
| Attorney Docket Number:: | UPN-Q3355USA |
| Request for Early Publication? | No |
| Request for Non-Publication? | No |
| Suggested Drawing Figure:: | |
| Total Drawing Sheets:: | 30 |
| Small Entity:: | Yes |
| Latin name:: | |
| Variety denomination name | |
| Petition Included:: | No |
| Petition Type | |
| Licensed US Govt. Agency:: | National Institutes of Health |
| Contract or Grant Number:: | 5R01NS042874 |
| Secrecy Order in Parent Application:: | No |

| Applicant Information | |
|---|-----------------|
| Applicant Authority Type:: | Inventor |
| Primary Citizenship Country:: | US |
| Status:: | Full Capacity |
| Given Name:: | Hansell |
| Middle Name:: | H. |
| Family Name:: | Stedman |
| Name Suffix:: | |
| City of Residence:: | Norristown |
| State or Province of Residence:: | PA |
| Country of Residence:: | US |
| Street of Mailing Address:: | 1907 Berks Road |
| City of Mailing Address:: | Norristown |
| State or Province of Mailing Address:: | PA |
| Country of Mailing Address:: | US |
| Postal or Zip Code of Mailing Address:: | 19403 |

| Applicant Information | |
|---|-------------------------------------|
| Applicant Authority Type:: | Inventor |
| Primary Citizenship Country:: | US |
| Status:: | Full Capacity |
| Given Name:: | Leonard |
| Middle Name:: | T. |
| Family Name:: | Su |
| Name Suffix:: | |
| City of Residence:: | Seattle |
| State or Province of Residence:: | WA |
| Country of Residence:: | US |
| Street of Mailing Address:: | 36 th Avenue, North East |
| City of Mailing Address:: | Seattle |
| State or Province of Mailing Address:: | WA |
| Country of Mailing Address:: | US |
| Postal or Zip Code of Mailing Address:: | 98105 |

| Applicant Information | |
|---|-------------------|
| Applicant Authority Type:: | Inventor |
| Primary Citizenship Country:: | US |
| Status:: | Full Capacity |
| Given Name:: | Marilyn |
| Middle Name:: | A. |
| Family Name:: | Mitchell |
| Name Suffix:: | |
| City of Residence:: | Philadelphia |
| State or Province of Residence:: | PA |
| Country of Residence:: | US |
| Street of Mailing Address:: | 4 University Mews |
| City of Mailing Address:: | Philadelphia |
| State or Province of Mailing Address:: | PA |
| Country of Mailing Address:: | US |
| Postal or Zip Code of Mailing Address:: | 19104 |

| Correspondence Information | |
|---|------------------------------------|
| Correspondence Customer Number:: | 00270 |
| Name:: | Howson and Howson |
| Street of Mailing Address | 501 Office Center Drive, Suite 210 |
| City of Mailing Address | Fort Washington |
| State or Province of Mailing Address | Pennsylvania |
| Country of Mailing Address | US |
| Postal or Zip Code of Mailing Address:: | 19034 |
| Phone Number:: | 215-540-9200 |
| Fax Number:: | 215-540-5818 |
| E-Mail Address:: | ckodroff@howsonandhowson.com |

| Representative Information | | |
|--------------------------------------|---------------------|------|
| Representative Customer No. 00270 | Registration Number | Name |

| Domestic Priority Information | | | |
|-------------------------------|--|--------------------|--------------------|
| Application | Continuity Type | Parent Application | Parent Filing Date |
| This application | National Phase of | PCT/US05/001768 | 01/21/05 |
| PCT/US05/001768 | An appln. claiming the benefit under 35 USC 119(e) | 60/538,877 | 01/23/04 |
| Foreign Priority Information | | | |
| Country | Application Number | Filing Date | Priority Claimed |
| | | | |
| | | | |

| Assignee Information | |
|---|--|
| Assignee Name:: | The Trustees of the University of Pennsylvania |
| Street of Mailing Address:: | 3160 Chestnut Street, Suite 200 |
| City of Mailing Address:: | Philadelphia |
| State or Province of Mailing Address:: | PA |
| Country of Mailing Address:: | US |
| Postal or Zip Code of Mailing Address:: | 19104-6283 |